

# Assessing niche width of endothermic fish from genes to ecosystem

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**Endothermy in vertebrates has been postulated to confer physiological and ecological advantages. In endothermic fish, niche expansion into cooler waters is correlated with specific physiological traits and is hypothesized to lead to greater foraging success and increased fitness. Using the seasonal co-occurrence of three tuna species in the eastern Pacific Ocean as a model system, we used cardiac gene expression data (as a proxy for thermal tolerance to low temperatures), archival tag data, and diet analyses to examine the vertical niche expansion hypothesis for endothermy in situ. Yellowfin, albacore, and Pacific bluefin tuna (PBFT) in the California Current system used more surface, mesopelagic, and deep waters, respectively. Expression of cardiac genes for calcium cycling increased in PBFT and coincided with broader vertical and thermal niche utilization. However, the PBFT diet was less diverse and focused on energy-rich forage fishes but did not show the greatest energy gains. Ecosystem-based management strategies for tunas should thus consider species-specific differences in physiology and foraging specialization.**

pelagic | tuna | *Thunnus* | evolution | endothermy

Endothermy has been described in several lineages of marine teleosts (billfish, tunas, and recently, in the mesopelagic opah *Lampris guttatus*) and in sharks of the family Lamnidae (1–4). These teleosts and sharks possess convergently evolved mechanisms for conserving heat produced in metabolically active tissues as well as specialized “heater organs.” Heat produced in these tissues is retained via counter current heat exchangers (retia mirabilia) in the vasculature, minimizing heat loss from the gills. In tunas and sharks, the heart receives cooled, deoxygenated blood directly from retia mirabilia; thus cardiac tissues operate at ambient temperatures (5, 6) and must support warmer and energetically demanding body tissues. The cardiac tissues of some tunas are specialized for eurythermal performance, with high tolerance to low temperatures (6–9); however, at lower temperatures, tunas can experience cold-induced bradycardia, limiting cardiac output (6). In bluefin tunas, endothermy is linked to improved cardiac cold tolerance and increased function at lower temperatures (6). These studies indicate that advanced capacity for excitation–contraction (EC) coupling improves cardiac performance and plays an important role in determining the thermal limits of tunas (5, 6, 8).

Increased cold tolerance in vertebrates has been postulated to confer physiological and ecological advantages (1, 10). In fish, one hypothesis is that the evolution of endothermic traits enabled niche expansion both geographically and vertically, increasing access to prey (1, 2). For example, Atlantic bluefin tuna, the most endothermic species of the *Thunnus* genus, are able to seasonally exploit energetically rich prey (e.g., herring) in sub-polar seas (>60°N) (11). Large individuals can make repeated dives to cold waters below the thermocline (as cool as 2–5 °C) to exploit a wider variety of forage species (e.g., herring, squid, mackerel, sand lance) (12). Importantly, tunas have physiological limitations at both high and low temperatures (13, 14), which are linked to constraints on cardiac function (6, 7, 9) and horizontal

and vertical movements in the water column, which will in turn impact accessibility of prey.

Forage fishes such as herring, sardines, and anchovy have received attention in the recent scientific literature due to potential overexploitation and the subsequent loss of marine ecosystem services (15, 16). Unlike studies that have documented declines of predatory fish, sea turtles, sharks, marine mammals, and seabirds (17, 18), which are intrinsically valued for their social, ecological, and commercial importance, declines of prey species are usually deemed problematic due to the loss of food resources for apex predators (15, 16). If we really have “fished down marine food webs” (18) to the extent of forage fish overexploitation, it becomes important to understand the strength of trophic linkages between predators and their prey. Determining the relationships between physiology, niche breadth, and foraging success is important if we are to forecast the likely winners and losers following declines in local prey biomass or diversity due to both anthropogenic and environmental influences.

Here, we used expression of specific genes in cardiac tissue as a proxy for enhanced cardiac performance in low temperatures and tested whether this expression is linked to increased vertical niche width, greater access to prey, and increased foraging success. We used three co-occurring species of tunas as a model system. Endothermy in tunas affects numerous biological characteristics including increased body size, enhanced swimming efficiency, complex biomechanics, high migratory capacity, and

## Significance

**In large pelagic fish, as in birds and mammals, significant questions remain concerning the selective pressures that drove the evolution of endothermy. We examined cold tolerance and niche breadth on molecular, organism, and food web levels in three closely related tuna species. We show that energetic benefits of increased cold tolerance in fishes are context dependent: advantageous when quality prey is abundant, but not when such prey is scarce. We broaden our findings to the global level using published literature to show that endothermic bluefin species in all the world's oceans specialize, to some degree, on high energy prey. Our multifaceted approach demonstrates the concept that the advantage of a “beneficial” adaptation is dependent on environmental and anthropogenic influences.**

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fecundity (1, 19). Furthermore, foraging strategies are dependent upon geographic location, prey availability, and season. However, by comparing similarly sized, immature *Thunnus* species with varying cold tolerances that coexist in space and time, many of these confounding variables can be minimized or eliminated, providing an ecological interpretation of how tuna congeners use common physical space. Juveniles of three tuna species, Pacific bluefin tuna *Thunnus orientalis* (PBFT), yellowfin tuna *Thunnus albacares* (YFT), and albacore tuna *Thunnus alalunga* (ALB), overlap seasonally in the California Current Large Marine Ecosystem (CCLME) (13) (SI Appendix, Fig. S1). This co-occurrence provides a rare in situ opportunity to study trophic plasticity and feeding success in closely related species across a gradient of tolerance to low temperatures.

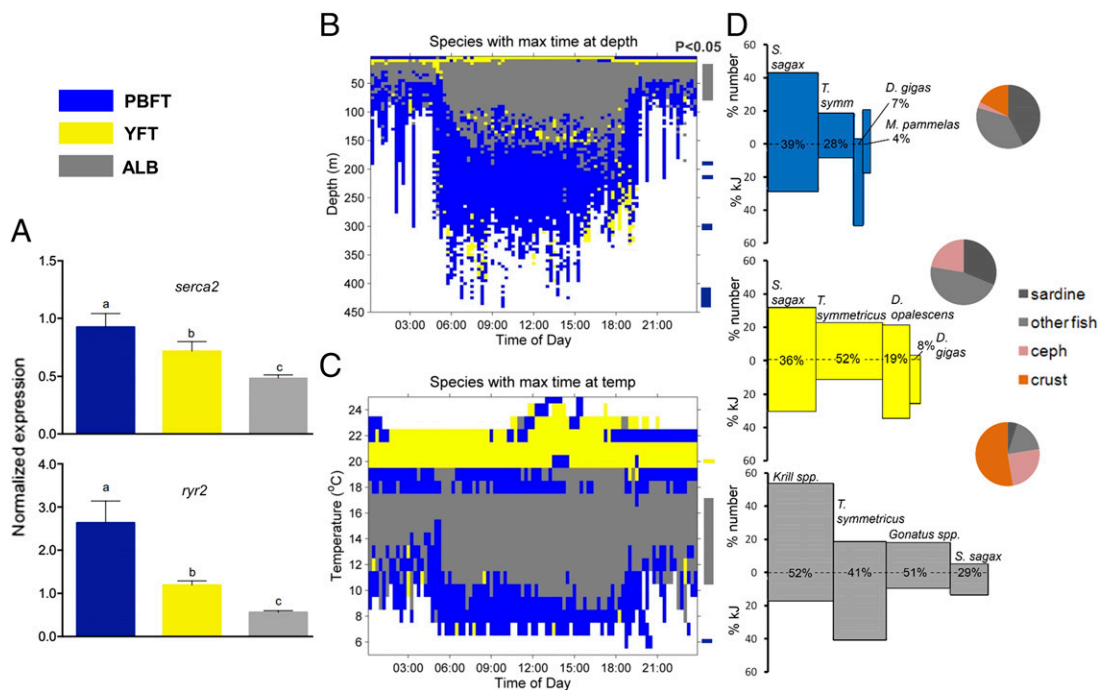
We quantified the expression of specific genes in ventricular tissues of similarly sized juvenile tunas. We chose genes associated with calcium cycling and sarcoplasmic reticulum (SR) stores in cardiac myocytes, which underlie enhanced calcium release, reuptake, and myocyte contractility at low temperatures (9). This study focuses on gene expression of these critical EC-coupling components, which elucidate SR calcium cycling capacity. Whereas phenotypic plasticity can occur, our study examined the three tuna species in a temporal and spatial context in which they were all experiencing a similar physical environment in the relatively stable, late summer CCLME. By archival tagging all species simultaneously and extracting data from a region of spatiotemporal overlap, we compared physical niche (vertical and thermal) of the three species. Finally, we assessed trophic niche breadth by conducting diet analyses in the study region over 3 y. This integrative approach permitted evaluation of comparative cardiac

physiological capacities, habitat use, and trophic interactions in the CCLME. We hypothesized that increased expression of cardiac genes conferring cold tolerance of heart function would lead to a broader vertical and thermal niche in PBFT, leading to increased foraging plasticity and success in this species compared with YFT and ALB, which we expected to be vertically, thermally, and trophically more limited in scope.

## Results and Discussion

PBFT, YFT, and ALB showed significant variation in mRNA expression levels for two proteins associated with excitation–contraction coupling and calcium cycling across the cardiac SR. Results indicate that PBFT hearts maintain significantly greater expression of SR  $\text{Ca}^{2+}$  ATPase (*serca2*) and ryanodine receptor 2 (*ryr2*) genes compared to cardiac tissues from YFT (ANOVA followed by Tukey's test,  $P = 0.0011$ ,  $P = 1 \times 10^{-5}$ ) and ALB ( $P = 1 \times 10^{-5}$ ,  $P = 4 \times 10^{-5}$ ) (Fig. 1A). These two proteins, cardiac isoforms of SERCA2 and RYR2, are involved in SR  $\text{Ca}^{2+}$  storage and release, respectively. They play a key role in maintaining SR function and overall  $\text{Ca}^{2+}$  homeostasis in cardiac myocytes and increase the capacity for active vertebrate hearts to maintain excitation–contraction coupling at low temperatures (7, 20, 21). Elevated expression of these proteins, particularly SERCA2, is strongly correlated with higher heart rates and enhanced SR function, which together maintain cardiac performance at low temperatures in endothermic tunas and sharks (7, 8, 20, 22).

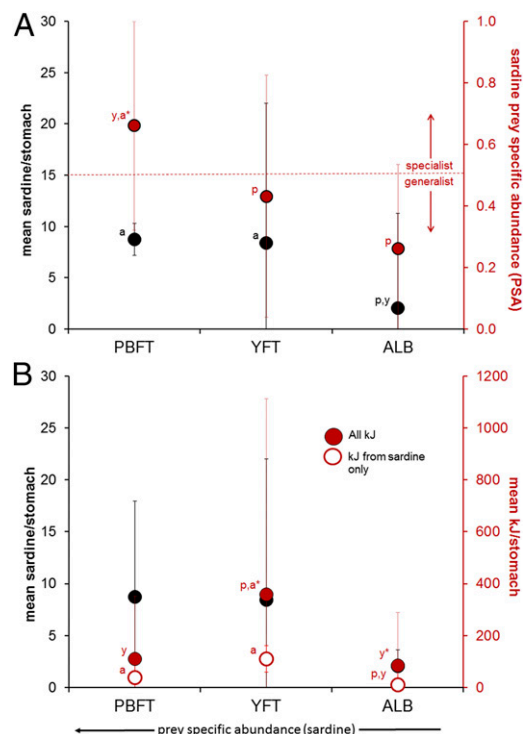
The mRNA expression patterns for the cardiac genes examined (PBFT > YFT > ALB) corresponded to a species-specific thermal niche as determined from electronic tag depth and temperature data. We examined 1,518 d of archival tag time



**Fig. 1.** Comparison of three co-occurring tunas [Pacific bluefin tuna (PBFT), blue; yellowfin tuna (YFT), yellow; albacore tuna (ALB), gray] on the molecular, behavioral, and ecological levels. (A) Expression of mRNA for *serca2* and *ryr2* genes that are involved in  $\text{Ca}^{2+}$  storage and cycling in the sarcoplasmic reticulum and contractility of cardiac myocytes. Letters above bars represent significant differences between species (ANOVA followed by Tukey's test). (B and C) Temperature and depth data from the time series recorded by electronic tags. Colors represent which one of the three tuna species (blue: PBFT; yellow: YFT; gray: ALB) spent the most proportional time, relative to the other two species, at depth (B) or temperature (C) for a given time of day (x axis). Bars (colored by species) to the right of B and C show depths and temperatures at which one tuna species spent significantly more time than the other two species (one-way ANOVA,  $P < 0.05$ ). (D) Index of relative importance (IRI) for each of the three tuna species while co-occurring in the study region. Polygon area is derived from percentage of number (y axis 0), percentage of total energy (kJ) (y axis 0), and frequency of occurrence (x axis) for the four most prevalent prey items of each tuna species. Pie charts show relative importance (by number) of fish, cephalopods, and crustaceans in diet, with the relative proportion of sardine also shown.

series records (*SI Appendix, Table S2* shows breakdown by species) to discern if niche separation occurred between these three juvenile *Thunnus* species in California Current waters. Tagging results here are from 2004–2005, as ALB were primarily tagged during these years; further analysis of the entire dataset (2002–2009) demonstrated that patterns of PBFT and YFT depth and temperature distribution were consistent across the entire tag dataset. Whereas depth utilization data showed overlap between tuna species in vertical and thermal habitat, especially at shallow depths and higher water temperatures (*SI Appendix, Fig. S3*), each tuna species clearly predominated at different ranges of depth and temperature (Fig. 1*B* and *C* and *SI Appendix, Table S3* for results of statistical analyses). YFT primarily occupied shallow, warmer waters of the mixed layer (<25 m, water temperatures of 19–24 °C) while making brief occasional dives into deeper, colder waters below the thermocline (Fig. 1*B* and *SI Appendix, Fig. S3*). Compared with the other two species, ALB spent relatively more time at intermediate, thermocline-associated depths (20–90 m) and temperatures (11–17 °C) and spent the least time at deepest depths and lowest temperatures (Fig. 1*B* and *C* and *SI Appendix, Fig. S3* and *Table S3*). Overall, PBFT used deeper and colder waters, spending the most time at greater depths (190–450 m; Fig. 1*B*) and using waters of lower ambient temperatures (5–8 °C; Fig. 1*C* and *SI Appendix, Table S3*) than the other two tuna species (*SI Appendix, Table S3*).

Despite having the widest vertical and thermal niche of the three *Thunnus* species in the CCLME, PBFT diet in the California Current system at the times observed was the most narrow (Shannon–Weiner Information Measure or  $H = 1.19$ ). YFT and ALB diets had more even contributions by multiple prey species (YFT  $H = 1.30$ , ALB  $H = 1.25$ ; Fig. 1*D* and *SI Appendix, Tables S4* and *S5*). Albacore diet showed high contributions from crustaceans, fishes, and cephalopods, and YFT diet was most diverse (Fig. 1*D* and *SI Appendix, Tables S5* and *S6*) consisting of the most evenly distributed assemblage of forage fish, cephalopod, and crustacean species. From 2008 to 2010, PBFT diet showed evidence for specialization (prey-specific abundance or PSA > 0.5) (23) on a specific prey item, sardine (Fig. 2*A*). There was no evidence for diet specialization in YFT or ALB. The high PSA for sardines indicates that PBFT selectively fed on sardines when they were encountered in the CCLME and exploited other prey species to a lesser degree than did ALB and especially YFT, which fed successfully on sardines but also concurrently on other prey species (Fig. 2*A*). Estimates of prey consumption (kJ stomach<sup>-1</sup>) were highly variable for PBFT (range: 1–1,091 kJ; mean  $\pm$  SD: 110  $\pm$  241 kJ), YFT (1–1,756 kJ; 358  $\pm$  755 kJ) and ALB (1–1,451 kJ; 85  $\pm$  204 kJ) (Fig. 2*B* and *SI Appendix, Fig. S4*). Although archival tag data indicated that PBFT occupied the widest vertical and thermal niche (Fig. 1) and showed evidence for specialization on an energy-rich prey item (Fig. 2*A*), PBFT did not show the highest estimated kJ stomach<sup>-1</sup>. YFT consumed the most kJ stomach<sup>-1</sup> (Kruskal–Wallis test,  $P = 0.007$ ), and energy intake by PBFT and ALB was not statistically different (Kruskal–Wallis test,  $P = 0.53$ , Fig. 2*B*). Thus, relative sardine specialization by PBFT did not lead to higher absolute values of sardine consumption, nor did it lead to higher energetic consumption overall in PBFT (Fig. 2). Estimates of kJ stomach<sup>-1</sup> were similar to results using the same methods in similarly sized tunas (yellowfin and bigeye tuna) in other regions (24); however, visceral endothermy in PBFT has been associated with more rapid digestion rates than other tunas (25, 26). Higher digestion rates could cause PBFT stomach contents to evacuate more rapidly and thus represent shorter time frames of recent diet. However, YFT kJ stomach<sup>-1</sup> estimates were more than threefold higher than those of PBFT (Fig. 2); it is therefore unlikely that different gastric evacuation rates would completely explain the differences in kJ stomach<sup>-1</sup> estimates for PBFT and YFT. Percentage of empty



**Fig. 2.** Consumption of sardines and overall feeding success by co-occurring Pacific bluefin (PBFT), yellowfin (YFT), and albacore (ALB) tuna. (A) Mean values of prey-specific abundance and sardines found in each stomach of PBFT, YFT, and ALB. Prey-specific abundance (PSA) value > 0.5 suggests specialization (23). Letters above each point indicate statistically significant difference from indicated species ( $p = \text{PBFT}$ ,  $y = \text{YFT}$ ,  $a = \text{ALB}$ ). \* $P < 0.001$  (Kruskal–Wallis test). (B) Although PBFT had the highest PSA for sardine and were putative specialists, they did not consume more sardine-derived kilojoules per individual than YFT, and YFT consumed significantly more kilojoules overall than both ALB and PBFT. Note the relatively high variability in kJ stomach<sup>-1</sup> for YFT.

stomachs was similar across species, although PBFT had slightly more (15%) than YFT (14%) or ALB (11%).

Dietary data for all three species were collected during a declining sardine regime (27). Anchovies were absent from predator diets and sardines were not as abundant as during sardine regimes in the CCLME, suggesting that our study took place during a transitional phase of the anchovy/sardine cycle (28). A previous study exists on the diets of PBFT and ALB in the CCLME (29) to which we can compare our feeding data. During the strong anchovy regime in 1968–1969, anchovy showed the highest index of relative importance (IRI) in PBFT diet, and both PBFT and ALB fed predominately on anchovy (29) (Fig. 3). However, throughout these years (1968–1969) other prey were still of relative importance to ALB diet but negligible in PBFT diet (Fig. 3). In the years of our study (2008–2010), PBFT maintained a similar pattern to that of the prior 1968–1969 anchovy regime: high importance of one energetically rich prey species and minimal importance of other prey items (Fig. 3 and *SI Appendix, Table S4*). In this study (2008–2010) ALB switched to a different primary prey (krill), with jack mackerel (*Trachurus symmetricus*) and gonatid squids (*Gonatus* spp.) contributing more to ALB diets than sardine (Fig. 3 and *SI Appendix, Table S5*). Thus, ALB seem to more readily switch foraging strategies in the face of cyclical changes in the anchovy or sardine cycle in the eastern Pacific Ocean (EPO) (Fig. 3), although diet data here, previous studies, and author observations suggest that all three species feed to some degree on readily available prey during relative scarcity of sardine and/or anchovy (30). For





prey; using stable isotope analysis, Madigan et al. estimated a mixed diet in PBFT, which likely feed at certain times throughout the year on DSL-associated organisms (e.g., euphausiids, squid), particularly during years of low sardine and/or anchovy abundance (30). That study also showed interannual plasticity of YFT diet, whereas ALB and PBFT diets were mixed, but consistent, between years (30). However, it is likely that seasonal abundance of energetically rich prey represent crucial feeding periods, especially for juvenile bluefin tunas, in which growth is maximized by optimal feeding conditions at preferred sea surface temperatures (13). For example, herring abundance can affect both Atlantic bluefin tuna condition (50) and distribution (51). Depletion of optimal prey species can thus negatively affect individual bluefin tuna condition, population growth, and/or alter migratory patterns (50–52).

All bluefin tuna species face uncertain futures due to exploitation and environmental change. Declines in biomass have been demonstrated in Atlantic and Southern bluefin tunas (53, 54), although there is also recent evidence for potential recovery (55, 56). In the most recent stock assessments, PBFT were declared to be overfished with estimated spawning biomass population declines of 94% of prefished levels (57). Our data suggest that overexploitation of energetically rich prey can have unequal effects on species of ostensibly similar trophic ecology, as the lack of juvenile PBFT diet diversity indicates that they may rely more heavily on sardine and/or anchovy abundance in the CCLME. During periods of localized primary prey scarcity, increased cardiac tolerance to the cold may facilitate juvenile PBFT horizontal niche expansion to locate preferred prey; as PBFT body size and thermal inertia increase, the benefits of cold tolerance may increase by facilitating foraging at higher latitudes on energetically rich prey by giant, mature bluefin tuna. Removal of forage fish by commercial fisheries have impacts on marine predators, and such effects can be difficult to measure (15, 16, 50). PBFT are in decline, and eastern Pacific sardine may soon collapse (58). The status of primary forage species (sardine and anchovy in the CCLME) should become a component of ecosystem-based management for overfished species.

## Materials and Methods

**Electronic Tagging.** The Animal Care and Use program at Stanford University provided Administrative Panel on Laboratory Animal Care (APLAC) oversight for tuna tagging and collection. Archival tag data were used from 87 electronic tags for a total of 1,518 d. Sample size  $n$  and days for each species are as follows: PBFT ( $n = 43$  individuals, 725 d), YFT ( $n = 31$  individuals, 583 d), ALB ( $n = 13$  individuals, 210 d) (size of all species ranged from 66 to 83 cm at time of tagging). Archival tag (Lotek 2310 LTD tags) data for PBFT, YFT, and ALB tuna were collected between 2002 and 2009 as part of the Tagging of Pacific Predators (TOPP) project (13). Geolocation estimates were generated using methodology described in Block et al. (13) and used to identify periods of spatial and temporal overlap in the CCLME between these three tuna species. We defined overlap as periods when all three tuna species occurred within a  $0.5 \times 0.5$  degree area during a 7-d period, and used the maximum and minimum possible latitude and longitude of fish within that cell based on estimated geolocation errors ( $\pm 1.9^\circ$  latitude,  $\pm 0.8^\circ$  longitude) (13) (*SI Appendix, Fig. S1*). Only data from months for which stomach content data were collected (July–October) were included (*SI Appendix, Table S2*). Depth data from fish within this overlap area and period were analyzed for tag-measured differences in ambient temperature and depth utilization. To describe each species' vertical and thermal distribution during periods of overlap, we calculated proportion of time spent at depth (TAD) and temperature (TAT) by time of day in 15-min intervals for each individual. Mean TAD, TAT, and comparative figures showing which species spent the most time at particular depths/temperatures were generated. Temperature-depth profiles were constructed for each species by calculating mean ( $\pm$ SD) temperature at depth for each day for each species; temperature-depth profiles for all days of data for each species were compared, which validate that all

three tuna species were in areas with similar water column thermal structure (*SI Appendix, Fig. S2*). To assess statistically significant interspecific differences for TAD and TAT, we calculated the TAT and TAD for depth bins of 10 m and temperature bins of  $1^\circ\text{C}$  and  $5^\circ\text{C}$  (*SI Appendix, Table S3*) for PBFT ( $n = 43$ ), YFT ( $n = 31$ ), and ALB ( $n = 13$ ). Proportions of TAD and TAT for each species were arcsine transformed and compared using one-way ANOVA for each depth and temperature bin. Tukey's honest significant difference criterion was used for the multiple comparison test. Significant differences are reported for  $\alpha = 0.05$  and  $\alpha = 0.1$  (*SI Appendix, Table S3*). See *SI Appendix, section 1.1* for full description of cross-species comparison of archival tag data.

**Cardiac Gene Expression.** PBFT ( $n = 7$ ), YFT ( $n = 5$ ), and ALB ( $n = 8$ ) individuals were collected in June 2008 by hook-and-line fishing in the CCLME, simultaneously with diet data collection reported below. Fish were placed in a seawater-filled vinyl sling, lifted aboard the vessel, and immediately killed. Cardiac tissues were sampled within 15 min and preserved in RNAlater (Applied Biosystems) as per the manufacturer recommendation. RNA was extracted from the tissues using TRIzol reagent (Invitrogen) in accordance with the manufacturer's protocol. RNA quantity and quality was assessed using spectrophotometric and gel-based methods. mRNA was transcribed to cDNA in preparation for cloning and qPCR. Where necessary, degenerate primers were designed and used in PCR with the appropriate cDNA to clone and sequence genes of interest. Relative transcript abundance was assessed using qPCR techniques. qPCR assays were performed for each gene and species using standard dilution curves with a minimum of four points, dissociation curves, and no-template and no-reverse-transcriptase controls. Relative expression values for each gene were calculated using a standard curve method. To compare gene expression values across species, reference gene beta-actin in each species was calculated and used to normalize transcript abundance of *serca2* and *ryr2* in the corresponding species. Beta-actin normalized gene expression data followed a Gaussian distribution and was analyzed based on ordinary one-way ANOVA followed by Tukey's test to correct for multiple comparisons. Multiplicity adjusted  $P$  values are reported. See *SI Appendix, section 1.2* for a full description of the sampling and qPCR assays.

**Diet Analysis.** Stomachs were collected from PBFT ( $n = 82$ ), YFT ( $n = 75$ ), and ALB ( $n = 86$ ) tunas from the fishing vessel R/V Shogun during the months of July–October in the years 2008–2010. Stomachs were collected from each species only during periods when all three species were being caught in the same general area (July–October), according to recreational catch data. Only one stomach sample for each tuna species was analyzed per sampling site to eliminate pseudoreplication of a single day's feeding conditions. Cumulative prey curves indicate that sample sizes were adequate to describe tuna diets over the spatiotemporal scale of the study (*SI Appendix, Fig. S3*). Stomach contents were rinsed into sieves; large, freshly consumed sardines (used as bait on fishing vessels) were easily identified and removed. Prey species were identified by hard parts. Length, mass, and energy value ( $\text{kJ}\cdot\text{g}^{-1}$ ) of prey were calculated using published algorithms (*SI Appendix, section 1.3* for full description of prey length, mass, and energetic reconstruction). A modified IRI (29), using percentage of prey energetic content in place of percentage of volume (*SI Appendix, section 1.3*), was calculated for the top four items in each predator diet. Shannon–Weiner information measures ( $H$ ) and PSA were calculated for the top four prey items in each tuna species to assess specialization on certain prey. Mean  $\text{kJ stomach}^{-1}$  and sardine PSA values were compared across tuna species using the nonparametric Kruskal–Wallis test, with significance at  $\alpha = 0.05$ . Prey of high importance to Pacific, Atlantic, and Southern bluefin in other oceanic regions were assessed from the literature (*SI Appendix, Table S7*).

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